

## Pinch current limitation effect in plasma focus

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The Lee model couples the electrical circuit with plasma focus dynamics, thermodynamics, and radiation. It is used to design and simulate experiments. A beam-target mechanism is incorporated, resulting in realistic neutron yield scaling with pinch current and increasing its versatility for investigating all Mather-type machines. Recent runs indicate a previously unsuspected “pinch current limitation” effect. The pinch current does not increase beyond a certain value however low the static inductance is reduced to. The results indicate that decreasing the present static inductance of the PF1000 machine will neither increase the pinch current nor the neutron yield, contrary to expectations. © 2008 American Institute of Physics. [DOI: 10.1063/1.2827579]

This model in its two-phase form was described in 1984.<sup>1</sup> It was used to assist in the design and interpretation of several experiments.<sup>2–4</sup> An improved five-phase model and code incorporating finite small disturbance speed,<sup>5</sup> radiation and radiation coupling with dynamics assisted several projects,<sup>6–8</sup> and was web published<sup>9</sup> in 2000 and in 2005.<sup>10</sup> Plasma self-absorption was included<sup>9</sup> in 2007. It has been used extensively as a complementary facility in several machines, for example, UNU/ICTP PFF,<sup>2,6</sup> the NX2,<sup>7,8</sup> NX1,<sup>7</sup> and DENA.<sup>11</sup> It has also been used<sup>12</sup> in other machines for design and interpretation including Soto’s subkilojoule plasma focus machines,<sup>13</sup> FNII,<sup>14</sup> and the UBA hard x-ray source.<sup>15</sup> Information obtained from the model includes axial and radial velocities and dynamics,<sup>1,7,12,11</sup> soft x-ray (SXR) emission characteristics and yield,<sup>5,7,8,16</sup> design of machines,<sup>13,16</sup> optimization of machines, and adaptation to other machine types such as the Filippov-type DENA.<sup>11</sup> A study of speed-enhanced neutron yield<sup>4,13</sup> was also assisted by the model code.

A detailed description of the model is already available on the internet.<sup>9,10</sup> A recent development in the code is the inclusion of neutron yield using a phenomenological beam-target neutron generating mechanism,<sup>17</sup> incorporated in the present RADPFV5.13. A beam of fast deuteron ions is produced by diode action in a thin layer close to the anode, with plasma disruptions generating the necessary high voltages. The beam interacts with the hot dense plasma of the focus pinch column to produce the fusion neutrons. In this modeling, each factor contributing to the yield is estimated as a proportional quantity and the yield is obtained as an expression with proportionality constant. The yield is then calibrated against a known experimental point.

The beam-target yield is written in the form  $Y_{b-t} \sim n_b n_i (r_p^2 z_p) (\sigma v_b) \tau$  where  $n_b$  is the number of beam ions per unit plasma volume,  $n_i$  is the ion density,  $r_p$  is the radius of the plasma pinch with length  $z_p$ ,  $\sigma$  is the cross section of the D–D fusion reaction,  $n$  branch,<sup>18</sup>  $v_b$  is the beam ion speed, and  $\tau$  is the beam-target interaction time assumed proportional to the confinement time of the plasma column.

Total beam energy is estimated<sup>17</sup> as proportional to  $L_p I_{\text{pinch}}^2$ , a measure of the pinch inductance energy,  $L_p$  being the focus pinch inductance. Thus, the number of beam ions is  $N_b \sim L_p I_{\text{pinch}}^2 / v_b^2$  and  $n_b$  is  $N_b$  divided by the focus pinch volume. Note that  $L_p \sim \ln(b/r_p) z_p$ , that<sup>4</sup>  $\tau \sim r_p \sim z_p$ , and that  $v_b \sim U^{1/2}$  where  $U$  is the disruption-caused diode voltage.<sup>17</sup> Here,  $b$  is the cathode radius. We also assume reasonably that  $U$  is proportional to  $V_{\text{max}}$ , the maximum voltage induced by the current sheet collapsing radially toward the axis.

$$\text{Hence, we derive } Y_{b-t} = C_n I_{\text{pinch}}^2 z_p^2 [(\ln b/r_p)] \sigma / V_{\text{max}}^{1/2}, \quad (1)$$

where  $I_{\text{pinch}}$  is the current flowing through the pinch at start of the slow compression phase;  $r_p$  and  $z_p$  are the pinch dimensions at end of that phase. Here,  $C_n$  is a constant which, in practice, we will calibrate with an experimental point.

The D–D cross section is highly sensitive to the beam energy so it is necessary to use the appropriate range of beam energy to compute  $\sigma$ . The code computes  $V_{\text{max}}$  of the order of 20–50 kV. However, it is known<sup>17</sup> from experiments that the ion energy responsible for the beam-target neutrons is in the range of 50–150 keV,<sup>17</sup> and for smaller lower-voltage machines the relevant energy<sup>19</sup> could be lower at 30–60 keV. Thus, to align with experimental observations the D–D cross section  $\sigma$  is reasonably obtained by using beam energy equal to three times  $V_{\text{max}}$ .

A plot of experimentally measured neutron yield  $Y_n$  vs  $I_{\text{pinch}}$  was made combining all available experimental data.<sup>2,4,11,13,17,19–22</sup> This gave a fit of  $Y_n = 9 \times 10^{10} I_{\text{pinch}}^{3.8}$  for  $I_{\text{pinch}}$  in the range 0.1–1 MA. From this plot, a calibration point was chosen at 0.5 MA,  $Y_n = 7 \times 10^9$  neutrons. The model code<sup>23</sup> RADPFV5.13 was thus calibrated to compute  $Y_{b-t}$  which in our model is the same as  $Y_n$ .

From experience, it is known that the current trace of the focus is one of the best indicators of gross performance. The axial and radial phase dynamics and the crucial energy transfer into the focus pinch are among the important information that is quickly apparent from the current trace. Numerical experiments were carried out for machines for which reliable current traces and neutron yields are available. Figure 1 shows a comparison of the computed total current trace

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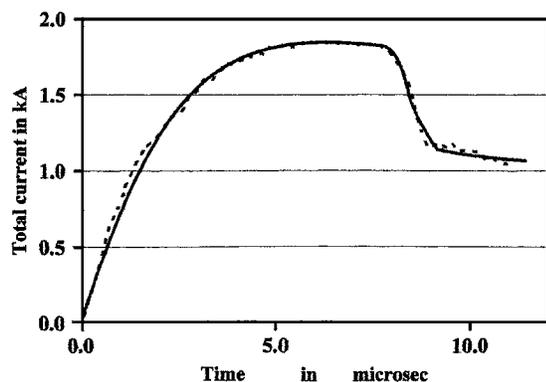


FIG. 1. PF1000 at 27 kV measured (dashed line) vs computed (smooth line) current traces.

(solid smooth line) with the experimental trace (dotted line) of the PF1000 at 27 kV (Ref. 17), 3.5 torr deuterium, with outer/inner radii  $b=16$  cm,  $a=11.55$  cm, and anode length  $z_o=60$  cm. In the numerical experiments we fitted external (or static) inductance  $L_o=33$  nH and stray resistance  $r_o=6$  m $\Omega$  with model parameters mass factor, current factor, and radial mass factor as  $f_m=0.14$ ,  $f_c=0.7$ , and  $f_{mr}=0.35$ . The computed current trace agrees very well with the experiment, a typical performance of this code.

Each numerical experiment is considered satisfactory when the computed current trace matches the experiment in current rise profile and peak current, in time position of the current dip, in slope, and absolute value of the dip (see Fig. 1). The results were obtained for the PF400, the UNU/ICTP PFF, the NX2, and PF1000 at 35 kV; for which current traces and neutron yields are available. We thus established these reliable points for our computed  $Y_n$  data. To make the results less sketchy, additional points were obtained for the PF1000 from 13.5 to 40 kV though these additional points are not supported by published results. More work will need to be done. However, even with the results obtained, it is clear that the model code is producing a scaling of  $Y_n \sim I_{\text{pinch}}^{4.7}$ ; and  $Y_n \sim I_{\text{peak}}^{3.9}$ . These computed scaling laws are in reasonable agreement with those put up from time to time by experimental compilations,<sup>20,21</sup> considering that in the experimental results,  $I_{\text{pinch}}$  is seldom properly measured, in many cases, only estimated from  $I_{\text{peak}}$ . Such estimates are dicey since the relationship between the peak total current  $I_{\text{peak}}$  (measured in the external circuit) and the pinch current  $I_{\text{pinch}}$  flowing in the tube is variable. Our code is consistent in that  $I_{\text{pinch}}$  is rigorously computed by fitting the total current trace. This gives confidence in the scaling ability of the code for  $Y_n$  as well.

An important question is how to improve the neutron yields of experiments. One obvious strategy is to increase  $I_{\text{pinch}}$  by reducing  $L_o$ . For example, the 30  $\mu\text{F}$ , 110 nH UNU/ICTPPFF (Refs. 2, 4, 12, and 19) had its  $L_o$  reduced to 20 nH evolving, as it were, into the NX2.<sup>7,16,22</sup>  $I_{\text{peak}}$  more than doubled. More importantly, though less than doubled,  $I_{\text{pinch}}$  increased from 120 to 220 kA. Neutron yields increased three to five times, as did SXR yields.

What about a bank such as the PF1000? With  $C_o$  at 1332  $\mu\text{F}$ , its  $L_o$  of 30 nH (fitted by the code) is already low relative to its huge  $C_o$ . We have run the code using the machine and model parameters determined from Fig. 1, modified by information about values of  $I_{\text{peak}}$  at 35 kV. Operating the PF1000 at 35 kV and 3.5 torr, we varied the anode radius

$a$  (with corresponding adjustment to  $b$  to maintain a constant  $c=b/a$ ) to keep the peak axial speed at 10 cm/ $\mu\text{s}$ . The anode length  $z_o$  was also adjusted to maximize  $I_{\text{pinch}}$ .

$L_o$  was decreased from 100 nH progressively to 5 nH. As expected,  $I_{\text{peak}}$  increased from 1.66 to 4.4 MA. As  $L_o$  was reduced from 100 to 35 nH,  $I_{\text{pinch}}$  also increased, from 0.96 to 1.05 MA. However, then unexpectedly on further reduction from 35 to 5 nH,  $I_{\text{pinch}}$  stopped increasing, instead decreasing slightly to 1.03 MA at 20 nH, to 1.0 MA at 10 nH, and to 0.97 MA at 5 nH.  $Y_n$  also had a maximum value of  $3.2 \times 10^{11}$  at 35 nH.

To explain this unexpected result, we examine the energy distribution in the system at the end of the axial phase (see Fig. 1) just before the current drops from peak value  $I_{\text{peak}}$  and then again near the bottom of the almost linear drop to  $I_{\text{pinch}}$ . The energy equation describing this current drop is written as follows:

$$0.5I_{\text{peak}}^2(L_o + L_a f_c^2) = 0.5I_{\text{pinch}}^2(L_o/f_c^2 + L_a + L_p) + \delta_{\text{cap}} + \delta_{\text{plasma}}, \quad (2)$$

where  $L_a$  is the inductance of the tube at full axial length  $z_o$ .  $\delta_{\text{plasma}}$  is the energy imparted to the plasma as the current sheet moves to the pinch position and is the integral of  $0.5(dL/dt)^2$ . We approximate this as  $0.5L_p I_{\text{pinch}}^2$  (which is an underestimate) for this case.  $\delta_{\text{cap}}$  is the energy flow into or out of the capacitor during this period of current drop. If the duration of the radial phase is short compared to the capacitor time constant, the capacitor is effectively decoupled and  $\delta_{\text{cap}}$  may be put as zero. From this consideration we obtain

$$I_{\text{pinch}}^2 = I_{\text{peak}}^2(L_o + 0.5L_a)/(2L_o + L_a + 2L_p), \quad (3)$$

where we have taken  $f_c=0.7$  and approximated  $f_c^2$  as 0.5.

Taking the example of PF1000 at 35 kV we obtain for each  $L_o$  the corresponding  $L_a$  ( $\sim 0.65$  nH/cm of  $z_o$ ) and  $L_p$  [ $\sim 3.8$  nH/cm of (Ref. 4)  $z_p \sim a$ ]. For example, at  $L_o=100$  nH,  $L_a=52$  nH, and  $L_p=29$  nH giving  $I_{\text{pinch}}/I_{\text{peak}}$  as 0.63. This ratio drops progressively as  $L_o$  decreases. For  $L_o=5$  nH,  $L_a=13$  nH, and  $L_p=77$  nH giving the ratio as 0.25. The results show that as  $L_o$  is reduced from 100 nH, at first, the increase in  $I_{\text{peak}}$  more than compensates for the drop in  $I_{\text{pinch}}/I_{\text{peak}}$  and  $I_{\text{pinch}}$  increases from  $L_o=100$  nH to  $L_o=40$  nH. Below  $L_o=40$  nH, the drop in  $I_{\text{pinch}}/I_{\text{peak}}$  catches up with the increase in  $I_{\text{peak}}$  leading to the numerically observed flat maximum of  $I_{\text{pinch}}$ .  $Y_n$  also has a flat maximum of  $3.2 \times 10^{11}$  at  $L_o=40-30$  nH.

The current limitation can now be seen as firstly a consequence of Eq. (3). Generally, as  $L_o$  is reduced,  $I_{\text{peak}}$  increases;  $a$  is necessarily increased leading (Ref. 4) to a longer pinch length  $z_p$ , hence a bigger  $L_p$ . Lowering  $L_o$  also results in a shorter rise time, hence a necessary decrease in  $z_o$ , reducing  $L_a$ . Thus, from Eq. (3), lowering  $L_o$  decreases the fraction  $I_{\text{pinch}}/I_{\text{peak}}$ . Secondly, this situation is compounded by another mechanism. As  $L_o$  is reduced, the  $L$ - $C$  interaction time of the capacitor bank reduces while the duration of the current drop increases due to an increasing  $a$ . This means that as  $L_o$  is reduced, the capacitor bank is more and more coupled to the inductive energy transfer processes with the accompanying induced large voltages that arise from the radial compression. Looking again at the derivation of Eq. (3) from Eq. (2) a nonzero  $\delta_{\text{cap}}$ , in this case, of positive value, will act to decrease  $I_{\text{pinch}}$  further. The lower  $L_o$  the more pronounced is this effect.

Summarizing this discussion, the pinch current limitation is not a simple effect, but is a combination of the two complex effects described above, namely, the interplay of the various inductances involved in the plasma focus processes abetted by the increasing coupling of  $C_o$  to the inductive energetic processes, as  $L_o$  is reduced.

We carried out several sets of experiments on the PF1000, each set with a different damping factor. In every case, an optimum inductance was found around 30–60 nH with  $I_{\text{pinch}}$  decreasing as  $L_o$  was reduced below the optimum value. We also carried out another set of experiments with a planned focus with  $C_o$  of 300  $\mu\text{F}$ . For that device, optimum  $L_o$  was found to be 20 nH. More sets of experiments need to be run to gain further experience and insight to understand better the complex interactions of the several parameters that conspire to determine the optimum  $L_o$ . The results of these ongoing studies will be published in more detail in due course.

In the meantime, enough information has been obtained from the numerical experiments to enable a statement that for PF1000, reducing  $L_o$  from its present 20–30 nH will increase neither the observed  $I_{\text{pinch}}$ , nor the neutron yield.

The prevailing thinking seems to be that the lower  $L_o$  is made, the higher performance a plasma focus would have in terms of driving current and  $Y_n$ . This paper shows that, on the contrary, given a fixed  $C_o$  powering a plasma focus, there exists an optimum  $L_o$  for maximum  $I_{\text{pinch}}$ . Reducing  $L_o$  further will increase neither  $I_{\text{pinch}}$  nor  $Y_n$ . Plasma focus research now has to meet the challenges posed by this “pinch current limitation” effect.

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## Erratum

The published paper contains 2 errors on page 1 which are corrected by this note. The relevant paragraph is reproduced here in parenthesis with the corrections highlighted in bold red:

"Total beam energy is estimated<sup>17</sup> as proportional to  $L_p I_{\text{pinch}}^2$ , a measure of the pinch inductance energy,  $L_p$  being the focus pinch inductance. Thus the number of beam ions is  $N_b \sim L_p I_{\text{pinch}}^2 / v_b^2$  and  $n_b$  is  $N_b$  divided by the focus pinch volume. Note that  $L_p \sim \ln(b/r_p) z_p$ , that  $\tau \sim r_p \sim z_p$ , and that  $v_b \sim U^{1/2}$  where  $U$  is the disruption-caused diode voltage<sup>17</sup>. Here 'b' is the cathode radius. We also assume reasonably that  $U$  is proportional to  $V_{\text{max}}$ , the maximum voltage induced by the current sheet collapsing radially towards the axis.

$$\text{Hence we derive: } Y_{b-t} = C_n n_i I_{\text{pinch}}^2 z_p^2 ((\ln b/r_p)) \sigma / V_{\text{max}}^{1/2} \quad (1)$$

where  $I_{\text{pinch}}$  is the current flowing through the pinch at start of the slow compression phase;  $r_p$  and  $z_p$  are the pinch dimensions at end of that phase. Here  $C_n$  is a constant which in practice we will calibrate with an experimental point."

There is another error on page 2, Fig 1. The vertical axis should be labeled 'Total Current in MA'.